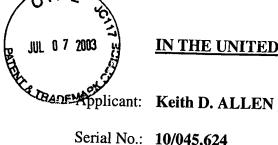
BEST AVAILABLE COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/045,624

Filed:

October 26, 2001

Title:

Transgenic Mice Containing Thyroid **Stimulating Hormone Receptor (TSH-R)**

Gene Disruptions

Group Art Unit:

1632

Examiner: Paras Jr., Peter

Customer No.

26619

Docket/Order No.

R-666

Date:

July 2, 2003

RESPONSE TO RESTRICTION REQUIREMENT

Commissioner for Patents Mail Stop Non-Fee Amendment P.O. Box 1450 Arlington VA 22313-1450

Sir:

RECEIVED

TECH CENTER 1600/2900

In response to the Office Action mailed June 3, 2003, concerning the Examiner's restrictions, Applicant hereby provisionally elects, with traverse, Invention III (claims 5-6, 12-38 and 40), drawn to a transgenic non-human animal, particularly a mouse comprising a disruption in a TSH-R gene, and a method of making the same.

In the restriction, the Examiner asserts that claims 1-42 are drawn to seven distinct subjects, grouped as: Invention I (claim 1), drawn to a targeting construct comprising nucleotide sequences homologous to a TSH-R gene; Invention II (claims 2-4, 7 and 39), drawn to cells comprising a disruption in a TSH-R gene; Invention III (claims 5-6, 12-38 and 40), drawn to a transgenic nonhuman animal, particularly a mouse comprising a disruption in a TSH-R gene, and a method of making the same; Invention IV (claim 8), drawn to methods of identifying agents that modulate the expression of a TSH-R gene or modulate the function of a TSH-R comprising screening said agents in a transgenic non-human animal; Invention V (claims 9-10), drawn to methods of identifying agents that modulate expression of a TSH-R gene or function of a TSH-R in a cell in vitro; Invention VI (claims 11 and 41), drawn to unknown agents; and Invention VII (claim 42), drawn to an agonist or antagonist of a TSH receptor. Applicant respectfully traverses the requirement for restriction and request reconsideration and withdrawal of the requirement.

BEST AVAILABLE COPY

As stated in MPEP §803, the requirements for a proper claim restriction are as follows: "(a) the inventions must be independent or distinct as claimed; and (b) there must be a serious burden on the examiner if restriction is required."

A proper claim restriction must place a "serious burden" on the Examiner if the claims were examined without a restriction. In order to establish a serious burden, the Examiner must "show by appropriate explanation one of the following: separate classification thereof, a separate status in the art, or a different field of search." This showing of a serious burden is required even if the claimed inventions have been shown to be distinct. See MPEP §808.02

The instant Office Action generally asserts that restriction is warranted between Inventions I through VII in that the claimed inventions are patentably distinct. The Examiner has based this conclusion on alleged material (biological, chemical or functional) differences between compositions, or alleged differences in the materials and modes of operation required for methods. However, Applicant submits that the Examiner has not established that a serious burden would result from a search of the invention groups together. Applicant does not believe that the Examiner has fulfilled the requirements for a proper claim restriction based on a serious burden standard. Applicant believes that a search of any one of Invention groups I through VII would produce results that would encompass the subject matter of each of the invention groups. Thus, a serious burden would not be placed on the Examiner in order to conduct a search and examination of the claims of Inventions I through VII.

Specifically, the Examiner asserts that the claims of Inventions I, II, III, VI and VII are distinct each from the other in that they have different modes of operation, different function, and different effects. More particularly, the Examiner states that the products of the Inventions have different chemical structures, are made by different methods, and can be used in different methods which require different technical considerations and materially different reagents. The Applicant disagrees that restriction is proper, in that the products of these inventions are related. Further, a search or examination of the prior art related to one of the invention groups, *e.g.* transgenic animals comprising TSH-R gene disruptions, would produce results encompassing each of the invention groups. Therefore, a separate search or examination of the prior art, which would unduly burden the Examiner, would not be required.

The Examiner also asserts that restriction is deemed proper between Invention IV and Invention V because their methods appear to constitute patentably distinct inventions, each with a distinct purpose and further comprising distinct methodologies and using different products.

BEST AVAILABLE COPY

Applicant respectfully disagrees, in that the method of Invention IV and the method of Invention V are closely related because they use similar methodologies and require similar materials. Applicant further submits that a reasonable search or examination of the prior art on the subject matter of either method would produce results related to both the methods utilizing the non-human transgenic animals comprising disruptions in TSH-R genes, as well those which utilize cells comprising the same disruptions. Such a search would not put serious burden on the Examiner.

Finally, the Examiner asserts that the products of Inventions I, II, III, VI and VII and Inventions IV and V are patentably distinct because the inventions are allegedly not disclosed as capable of use together and have different modes of operation, different functions, or different effects. Applicant disagrees with the Examiner's conclusion. Applicant believes that a reasonable search of the prior art, e.g. a search based on TSH-R disruptions, would produce results related to the subject matter of each of the invention groups. A search and examination of the claims of each of these inventions can therefore be made without additional burden on the Examiner.

Although Applicant has provisionally elected Invention III (claims 5-6, 12-38 and 40) for the purposes of advancing prosecution of the present application, Applicant contends for the foregoing reasons that the requirement for restriction between Inventions I through VII is improper. Accordingly, Applicant respectfully requests reconsideration and withdrawal of the requirement.

Respectfully submitted,

Date:	July 2,2003	Kelly Johns
	0 17	

Kelly L. Quast (Reg. No. 52,141)

Deltagen, Inc. 700 Bay Road Redwood City, CA 94063 (650) 569-5100

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence and its listed enclosures is being deposited with the United States Postal Service as First Class Mail, postage paid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on July 2, 2003

Name: Don Mixon

hatter the Paperwo	,	Application Number	lection of information unless it displays a valid OMB control nur 10/045,624
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date	October 26, 2001
		First Named Inventor	Keith D. Allen
		Art Unit	1632
		Examiner Name	Peter Paras Jr.
Total Number of Page	s in This Submission	Attorney Docket Numbe	r R-666
	E	NCLOSURES (Check all	that apply)
Fee Transmitta	al Form	Drawing(s)	After Allowance Communication to a Technology Center (TC)
Fee Att	ached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences
7 Theriament (Cpi)		Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Fi	nal L_	Petition to Convert to a Provisional Application	Proprietary Information
		Power of Attorney, Revocation Change of Correspondence A	
Extension of Ti	me Request	Terminal Disclaimer	Other Enclosure(s) (please Identify below):
	Ionment Request	Request for Refund	1337141).
		CD, Number of CD(s)	
	closure Statement Re	emarks	
Certified Copy Document(s)	or Priority		·
Response to M			
Incomplete App	plication		
	se to Missing Parts 7 CFR 1.52 or 1.53		
	SIGNATURI	E OF APPLICANT, ATTOR	RNEY, OR AGENT
Firm Kelly	L. Quast, Reg. No. 52,141		
or Individual	Kellyfo	went	
Signature			
Date July	2, 2003		
	CERTI	FICATE OF TRANSMISSI	ON/MAILING
I hereby certify that this con	rrespondence is being facsimile	transmitted to the USPTO or deposite	d with the United States Postal Service with sufficient postage a

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.